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10/561,422

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Kai Sorge

AG009

6390

7590  
Gerlinde M Nattler  
Continental Teves Inc.  
One Continental Drive  
Auburn Hills, MI 48326

08/31/2010

EXAMINER

COMLEY, ALEXANDER BRYANT

ART UNIT

PAPER NUMBER

3746

MAIL DATE

DELIVERY MODE

08/31/2010

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/561,422	<b>Applicant(s)</b> SORGE, KAI	
	<b>Examiner</b> ALEXANDER B. COMLEY	<b>Art Unit</b> 3746	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 8/17/2010.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 13, 15 and 17-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 13, 15, and 17-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☒ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                    | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on August 17<sup>th</sup>, 2010 has been entered.

### ***Status of the Claims***

2. The Examiner acknowledges Applicant's amendments, arguments, and remarks filed with the Office on August 17<sup>th</sup>, 2010 in response to Final Office Action mailed by the Office on February 18<sup>th</sup>, 2010. Per Applicant's response, only Claim 13 has been amended. Claims 1-12, 14, and 16 remain cancelled due to a prior amendment. Therefore, Claims 13, 15, and 17-23 remain pending in the instant application. The Examiner has carefully considered each of Applicant's amendments and arguments, and they will be addressed below.

### ***Claim Rejections - 35 USC § 102***

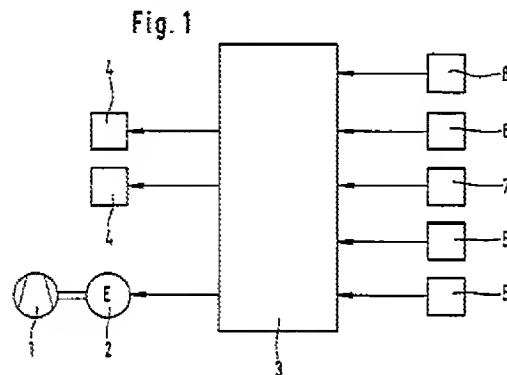
3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

Art Unit: 3746

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. **Claims 13, 17-18, 20, & 24** are rejected under 35 U.S.C. 102(b) as being anticipated by United States Patent to Kutscher et al. (6,212,451) to a Pneumatic Suspension Leveling System for Vehicles.



Regarding Independent **Claim 13**, and in reference to Figure 1 shown immediately above, Kutscher et al. (6,212,451) discloses a compressor control system that cycles a compressor unit on or off, depending on estimated threshold heating/cooling values that are indirectly calculated using a mathematical physical model stored in memory of a control unit for carrying out thermal modeling analysis via characterization of heating/cooling properties of the compressor. To do so, Kutscher's control system utilizes thermodynamic properties and relationships existing between the compressor and the surrounding air which are taken into account when calculating these threshold values. To begin, Kutscher discloses, "The invention is based on the concept of selectively varying the compressor on-time (relative and absolute), whereby the current on-time duration in each case is matched to prevailing compressor operating conditions. The functional parameter for determine a desirable compressor on-time

Art Unit: 3746

duration is varied are the heat transfer conditions which prevail between the compressor and the air enveloping the latter. " (Column 2, Lines 13-21) Hence, Kutscher's control system is designed such that compressor overheating is effectively avoided (See Col. 1, Lines 33-37) Kutscher goes on to state how environmental operating parameters (i.e. air temperature, mounting position, etc) directly affect the temperature of the compressor by stating "The speed with which the compressor heats up during its operation is a function of different limiting conditions such as, for example, mounting position of the compressor on the vehicle, air temperature, compressor air flow charging speed. The operating conditions resulting from these limiting conditions for the compressor can delay or accelerate compressor heating." (Col. 1, Lines 56-63) This statement alone makes it quite apparent that the surrounding air temperature and other environmental parameters (i.e. cooling and heating properties of the compressor) directly affect the compressor temperature, and therefore serve as a method of estimating (indirectly and cyclically) and regulating the temperature of the compressor itself. Kutscher discloses "These temperatures are preferably detected by an appropriate sensory mechanism, whereby signal values correlating thereto are generated, which the **control device processes** to determine air temperature in the compressor environment. Accordingly, air temperature in the compressor environment is not measured directly in this compressor environment but, rather, is indirectly determined with the aid of a corresponding, modeling analysis based on the outside vehicle temperature and vehicle engine air-intake temperature. In this manner, recourse can be made in particular to sensory mechanism already existing on the vehicle with

Art Unit: 3746

which said temperatures are measured in any case.” (Column 2, Lines 39-51) Kutscher also goes on to describe how his mathematical model analysis (i.e. equations) control compressor on-time amount by utilizing surrounding compressor heating/cooling variables (see Col. 3, Line 36 – Col. 4, Line 62). The Examiner must assert that Kutscher’s modeling analysis and equations are mathematical physical models which are stored in the control unit and function to characterize the cooling and heating properties of the compressor since they specifically utilize the compressor operating conditions (i.e. surrounding air temperature) to accurately determine when and how to operate the compressor to prevent overheating. Hence, Kutscher measures the temperature of the intake air and the surrounding air proximate the compressor via a thermal mathematical model stored in the control unit to indirectly and cyclically estimate the temperature (i.e. a relative temperature) of the compressor itself based on surrounding temperature conditions. The Examiner must assert that the term “estimate” is important here, since Applicant has merely claimed that the compressor temperature is estimated; not directly measured or sensed (i.e. with a sensor). Consequently, Kutscher’s system meets the limitation of the claim because it estimates the temperature of the compressor using measured environmental parameters. To this, as part of Kutscher’s method, a compressor operating/heating function that first calculates a set of physical compressor-influencing variables (i.e., ambient temperature of surroundings, air flow speed, etc) is utilized. This function uses these values/variables to estimate an overall compressor temperature, and then determines (based on operating requirements) exactly how the compressor should function at a given instant

Art Unit: 3746

in time. Hence, the compressor temperature is indirectly estimated through use of heat transfer rates (i.e. a heating function relative to the surrounding air) experienced between the compressor and the air. To conclude, the Examiner must assert that because Kutscher measures and regulates the compressor's operation (i.e. temperature) using the direct correlation existing between the surrounding environment temperature and the temperature of the compressor itself, Kutscher control system does, in fact, provide an indirect, and cyclic, method of estimating the temperature of the compressor.

5. In regards to dependent **Claims 17-18**, Kutscher discloses a compressor operating process that determines a set of physical compressor-influencing variables (i.e., ambient temperature of surroundings, air flow speed), uses these values to estimate an overall compressor temperature, and then determines, based on these values and current operating requirements, exactly how the compressor should function at a given instant in time. Applicant's use of subtracting a cooling value or adding a heating value to acquire the estimated relative temperatures is a step that would naturally occur in such a system process. In particular, Kutscher discloses "The heat transfer conditions prevailing between the compressor and the air enveloping the latter can depend to a large extent on the air temperature and air flow speed prevailing in the compressor environment. With a preferred special embodiment of the pneumatic suspension leveling system in accordance with the invention, therefore, the control device is able to vary the on-time duration of the compressor as a function of the air

Art Unit: 3746

temperature and air flow speed prevailing in the compressor environment.” (Column 2, Lines 22-30) In regards to dependent **Claim 20**, Kutscher discloses using initial relative temperature values that correspond to ambient air temperature surrounding the compressor. Kutscher also specifically notes that such starting values should be based on the particular compressor model to be used (See Column 3, Lines, 59-61; Column 4, Lines 10-13; Column 4, Lines 27-29). In particular, Kutscher discloses “The following values, for example, are possible as vehicle reference or starting operating parameters or as environmental conditions of compressor operation with which base on-time duration  $ED_{\text{Basis}}$  is determined:  $G_{\text{FG}} = 50^\circ \text{ km/h}$  at  $T_{\text{AT}} = 20^\circ \text{ C}$  and  $T_{\text{FT}} = 60^\circ \text{ C}$ .” (Column 4, Lines 51-55) Furthermore, in regards to dependent **Claim 24**, Kutscher’s control system is one that can initiate, or halt, compressor operation during various points in the allowable temperature range between a minimum and a maximum temperature. In particular, Kutscher discloses “The invention is based on the concept of selectively varying the compressor on-time (relative and absolute), whereby the current on-time duration in each case is matched to prevailing compressor operating conditions.” (Column 2, Lines 13-17) Kutscher further discloses the general operating conditions that the compressor follows by stating “Preferably, on-time duration is decreased then air temperature increases and/or air flow speed decreases and is increased when air temperature decreases and/or air flow speed increases.” (Column 2, Lines 29-33)



Art Unit: 3746

**Claim Rejections - 35 USC § 103**

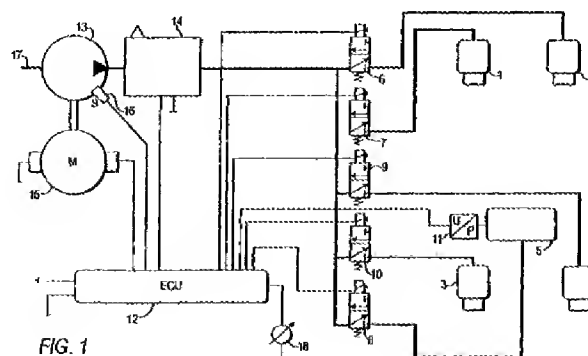
6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

8. **Claims 15, 19, & 21** are rejected under 35 U.S.C. 103(a) as being unpatentable over United States Patent to Kutscher et al. (6,212,451) to a Pneumatic Suspension Leveling System for Vehicles in view of United States Patent to Meier et al. (6,799,950) to a Method and Apparatus for Controlling a Compressor.



In regards to dependent **Claim 15**, and in reference to Figure 1 shown immediately above, the Meier portion of the combination specifically discloses

Art Unit: 3746

using the backpressure in the compressor system in order to accurately estimate compressor temperature. In particular, Meier discloses “A method and apparatus for controlling a compressor suitable for delivery of pressurized fluid to a pressurized fluid system, wherein the delivery of the pressurized fluid to the pressurized fluid system can be cycled on and off as a function of a temperature signal received from a temperature sensor. The delivery of pressurized fluid is turned off when the temperature signal exceeds a temperature limit value that is based on the backpressure caused by the pressurized fluid system.” (Abstract)

In regards to dependent **Claim 19**, the Kutscher portion of the combination discloses the use of coefficient-based equations to determine the increments of operational time for the compressor under different loadings and sensed surrounding conditions. In particular, Kutscher discloses “On the basis of conceptual models it is now possible for the control device 3 to calculate a signal value  $S_{LT}$  from the signal values  $S_{AT}$  and  $S_{FT}$  made available to it which correlate to the outside vehicle temperature  $T_{AT}$  and to the vehicle engine air-intake temperature  $T_{FT}$  which correlates to the air temperature in the compressor vicinity. Likewise, with corresponding conceptual models a signal value  $S_{LG}$  can be calculated from the signal value  $F_{FG}$  correlating to the vehicle speed  $G_{FG}$  which correlates to the air flow speed  $G_{LG}$  in the compressor environment.”

(Column 3, Lines 36-44) Kutscher further explains the specific equations used, and the influencing factors used for each (See Column 3, Line 46 – Column 4, Line 50) From these equations, it is clear that Kutscher’s “influencing variables”

Art Unit: 3746

serve the same purpose as applicant's "constant coefficient matrices" in that they characterize the particular compressor used and its particular surroundings.

Furthermore, Kutscher discloses the claimed invention except for the specific algebraic forms of the operating equations used. However, it would have been an obvious matter of design choice to utilize such equation forms, since applicant has not disclosed that such particular equations solve any stated problem or are for any particular purpose, and it appears that the invention would perform equally well with the similar equation forms seen in Kutscher. regarding dependent **Claim 21**, Kutscher specifically describes using appropriate starting values for the control system based on corresponding compressors used and their environments. Kutscher also notes that such starting values are to be based on the particular compressor model used (See Column 3, Lines, 59-61; Column 4, Lines 10-13; Column 4, Lines 27-29) Therefore, Kutscher discloses the claimed invention except for the particular initial relative temperature value of "zero". However, it would have been obvious to one having ordinary skill in the art at the time the invention was made to utilize an initial relative temperature of "zero", since it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. *In re Boesch*, 617 F.2d 272, 205 USPQ 215 (CCPA 1980) Therefore, to one of ordinary skill desiring an efficiently operated compressor unit that avoids damage from overheating, it would have been obvious to utilize the techniques disclosed in Meier in combination with those seen in Kutscher in order to obtain such a result.

Art Unit: 3746

Consequently, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the compressor control method of Kutscher with the counterpressure readings seen in Meier in order to obtain predictable results; those results being a more precisely controlled compressor that prevents overheating while still avoiding complete reliance on direct temperature readings for temperature estimations.

9. **Claims 22-23** are rejected under 35 U.S.C. 103(a) as being unpatentable over United States Patent to Kutscher et al. (6,212,451) in view of United States Patent to Meier et al. (6,799,950) as applied to claims 15, 19, & 21 above, and further in view of United States Patent to Jayanth et al. (6,758,051) directed to a Method and System for Diagnosing a Cooling System.

Regarding dependent **Claim 22**, the Kutscher-Meier portion of the combination discloses the steps of applicant's method, with the exception of step "c"; that step being the specific measurement of the compressor's voltage. However, the additional Jayanth reference of the combination specifically discloses the use of a voltage sensor in a compressor control system. In particular, Jayanth discloses "The diagnostic system also includes either a discharge pressure sensor or a condenser temperature sensor, an ambient air sensor and a voltage sensor. The sensors provide information to the diagnostic system to enable it to determine and indicate a system fault." (Abstract) More particularly, Jayanth discloses "The demand signal for

Art Unit: 3746

compressor 10 is acquired by sensing the presence of supply voltage or by having a system controller (not shown) supply a discrete signal representing the demand. The demand signal and the signal received by logic circuitry 104 are processed by logic circuitry 104 to derive the information about the trip frequency of protector 54 and the average ON time and OFF time of compressor 10. Logic circuitry 104 analyses the combination of current signals, the demand signal and the derived protector trip frequencies to determine if a fault condition (i.e. overheating or overload) exists.” (Column 6, Lines 3-33) In regards to dependent **Claim 23**, Kutscher substantially teaches the use, or omission, of an influencing variable based on operating condition. Furthermore, applicant’s claim essentially describes that the use, or omission, of a particular variable based on compressor operation. Therefore, Kutscher discloses the claimed invention except for the specific multiplication step used to utilize (multiply by “one”) or omit (multiply by “zero”) an influencing variable. It would have been obvious to one having ordinary skill in the art at the time the invention was made to perform these basic multiplications, since it has been held that omission of an element (multiplying by zero) and its function in a combination where the remaining elements perform the same functions as before involves only routine skill in the art. *In re Karlson*, 136 USPQ 184. Therefore, to one of ordinary skill desiring an accurately controlled compressor system, it would have been obvious to utilize the techniques disclosed in Jayanth in combination with those seen in the Kutscher-Meier combination in order to obtain such a result. Consequently, it would have been

Art Unit: 3746

obvious to one of ordinary skill in the art at the time of the invention to modify the compressor control system of the Kutscher-Meier combination with the voltage detection sensors of Jayanth in order to obtain predictable results; those results being a more precisely controlled compressor system that prevents overheating by avoiding complete reliance on temperature readings for its temperature calculations.

### ***Response to Arguments***

10. Applicant's arguments filed August 17<sup>th</sup>, 2010 have been fully considered but they are not persuasive. The Examiner's responses can be seen below.

11. In regards to Applicant's argument that Kutscher does not disclose a mathematical physical model characterizing the cooling/heating properties of the compressor, the Examiner must respectfully disagree. As stated above in the analysis for Independent Claim 1, Kutscher describe the use of a mathematical model analysis (i.e. equations) to control compressor on-time amount by utilizing surrounding compressor heating/cooling variables (see Col. 3, Line 36 – Col. 4, Line 62). The Examiner must assert that Kutscher's modeling analysis and equations constitute mathematical physical models which are stored in the control unit and function to characterize the cooling and heating properties of the compressor since they specifically utilize the surrounding compressor operating conditions (i.e. surrounding air temperature) to accurately determine when and how to operate the compressor to

Art Unit: 3746

prevent overheating. Hence, Kutscher measures the temperature of the intake air and the surrounding air proximate the compressor via a thermal mathematical model stored in the control unit to indirectly and cyclically estimate the temperature (i.e. a relative temperature) of the compressor itself based on surrounding temperature conditions.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ALEXANDER B. COMLEY whose telephone number is (571)270-3772. The examiner can normally be reached on M-F 7:30am - 5:00am EST (Alternate Fridays Off). If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Devon C. Kramer can be reached on (571)-272-7118. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 10/561,422

Page 15

Art Unit: 3746

/Alexander B Comley/  
Examiner, Art Unit 3746

/Charles G Freay/  
Primary Examiner, Art Unit 3746

ABC